

DATE: April 15, 2019

CIRCULARS

RBI

- Auction of Government of India Dated Securities
- Auction for Sale (Issue/re-issue) of Government Stock (GS)

NSE

- Amendments to NSEIL Byelaws
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Adjustment of Market Lot for security AIRAN in SME Platform
- Change of Additional Market Maker for ASL Industries Limited (ASLIND)
- Continuity of Trading during disruption
- Applicability of Additional Surveillance Measure (ASM)
- Adjustment of Futures and Options contracts in the security BHARTIARTL
- Clarification on weekly upload of holding statements
- Draft Operating Guidelines - Interoperability among Clearing Corporations
- Draft Operating Guidelines - Interoperability among Clearing Corporations
- Draft Operating Guidelines - Interoperability among Clearing Corporations
- Draft Operating Guidelines - Interoperability among Clearing Corporations

BSE

- Interoperability among Clearing Corporations
- Submission of Internal Audit Report for half year ended March, 2019 through BEFS (BSE Electronic Filing System)
- List of Privately placed bonds available for DVP III Settlement in Institutional segment in BSE NDS - April, 2019
- Availability of BNP PARIBAS OVERNIGHT FUND for ongoing transactions on BSE StAR MF Platform
- Non-Competitive Bidding Facility for Auction of Treasury Bills (T-Bills) on April 16, 2019
- Clarification on weekly upload of holding statements
- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger – “Upload of Holding Statement”
- Applicability of Additional Surveillance Measure
- Continuity of Trading during disruption in any market segment.
- Submission of Half yearly Networth Certificate as on March 31, 2019
- Release of BOLT TWS ver. 78.11
- Annual System Audit of Stock Brokers / Trading members
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Uniform Implementation of KYC requirements
- Revision of maintenance charges

MCX

- SEBI Annual fees payable by Clearing Members for the Financial Year 2019-20
- Submission of Half Yearly Networth Certificate as on March 31, 2019
- Internal Audit of Members
- Internal Audit of Members of the Exchange

SEBI

- Adjudication order in respect of Pushpanjali Floriculture Ltd. in the matter of Non-obtaining of SCORES authentication
- Technology Committee for Mutual Funds / Asset Management Companies (AMCs)
- System Audit framework for Mutual Funds / Asset Management Companies (AMCs) ”
- Settlement Order with respect to Shri Vinay Maloo in the matter of GDR Issue of Himachal Futuristic Communications Ltd.
- Adjudication Order in the matter of Shree Shaleen Textile Limited
- Adjudication order in respect of Raikot Finance and Investment pvt ltd in the matter of Illiquid Stock Options at BSE
- Adjudication order in respect of Ranklin Solutions Ltd. in the matter of Ranklin Solutions Ltd.
- Settlement Order dated April 11, 2019 in respect of Kiran Abhay Gandhi in the matter of Trading Activities of Certain Entities in the Scrip of Ranbaxy Laboratories Limited
- Settlement Order dated April 11, 2019 in respect of Abhay Arvind Gandhi in the matter of Trading Activities of Certain Entities in the Scrip of Ranbaxy Laboratories Limited

NSDL

- 2019-0044-Tender_Offer_PBM Polytex Limited – Tender offer for Buyback

NCDEX

- Internal Audit of Members of the Exchange
- Membership Annual Fees
- NCDEX Data Feed Usage Policy
- Revised file format for Unique Client Code Details

Disclaimer: We will not be responsible for any usage of it or any reproduction or any losses arising out of usage of the extracts of the circulars from various exchanges. All being a compilation done to read it at one place. For details of circulars, all are requested to go to the website of the respective regulators or exchanges which shall be final and we shall not be responsible at any level.